



एनटीपीसी लिमिटेड

(भारत सरकार का उद्यम)

NTPC Limited

(A Govt. of India Enterprise)

केन्द्रीय कार्यालय/ Corporate Centre

Ref. No.01:SEC:LA:4

Dated: 29.4.2024

General Manager Department of Corporate Services BSE Limited Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 Scrip Code: 532555	Manager Listing Department National Stock Exchange of India Limited "Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Code: NTPC
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Sub.: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31.3.2024

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a Certificate issued by M/s A. K. Rastogi & Associates, Company Secretaries for the year ended 31.3.2024 in compliance with Regulation 40(9) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

(Ritu Arora)
Company Secretary &
Compliance Officer

Encl: as above



**A.K. RASTOGI &
ASSOCIATES**
Company Secretaries

R-13/69, RAJ NAGAR
GHAZIABAD-201002
UTTAR PRADESH
Mob:9650990414
anilrastogi3609@gmail.com,

CERTIFICATE UNDER REGULATIONS 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE YEAR ENDED 31ST MARCH 2024

TO WHOMSOEVER IT MAY CONCERN

We have examined the relevant documents and papers relating to equity shares of **NTPC Limited** having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodhi Road, New Delhi - 110003 maintained and provided electronically by **Beetal Financial & Computer Services (P) Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to equity shares of the Company for the Financial year ended **31st March, 2024**, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, to **NTPC Limited** and based on information provided by the Company/ RTA , we hereby certify that during the Financial Year ended on **31st March, 2024**:

- a) The Company had not received any request for registration of Transfer / Sub-division/ Exchange / endorsement of call / allotment money during the financial year ended 31st March, 2024.
- b) Shareholders' request in respect for Transmission / Consolidation / Renewal(Replacement)/ Transposition received during the above period were effected within stipulated time from the date of receipt.
- c) One request for issue of Duplicate shares was received; however the same could not be issued within stipulated time due to some technical reason.

Place: Ghaziabad
Date: 29.04.2024

For A. K. Rastogi & Associates
Company Secretaries

ICSI Unique code No S2020UP724400

(A.K. RASTOGI)
PROPRIETOR
FCS No 1748; CP No.:22973
UDIN F001748F000266023